

GAMCO INTERNATIONAL SICAV

ACCOUNT INFORMATION

Please read before completing form.

The Net Asset Values of the Sub-Funds listed in Section 6 are calculated every Business Day.

Subscriptions are accepted before 16.00 CET on the day (T-1) prior to the date on which you would like to have your subscription completed (Trade Date).

Contractual Settlement is applicable.

Subscription money must be received no later than three business days after the Trade Date (T+3).

If you will not be the beneficial owner of the relevant shares, please provide a further copy of this form completed in respect of each beneficial owner.

RETURN TO :

RBC INVESTOR SERVICES BANK S.A.,
Attn. SHS Register, 14, Porte de France
L-4360 Esch-sur-Alzette, G.D. Luxembourg

Phone: +352 2605 9730
E-mail: CustomerServices@rbc.com
Fax: +352 2460 9500

1 Institutional Investor Account Registration Details

Please read and consider the relevant Prospectus before completing this Account Registration Form.
Please complete the information below USING ALL CAPITAL LETTERS. Return original to the Transfer Agent

Company Name / Name of registered holder	
Country or Jurisdiction of Organization	
Account Designation (if applicable – please note designation may not exceed 18 characters)	
Registered Address (Please state only one registered address. C/o or PO Box addresses will not be accepted)	
Street:	
City:	
Zip Code:	Country:
Telephone Number:	
Fax Number:	
Email:	
Correspondence Address (If different from registered address)	
Street:	
City:	
Zip Code:	Country:

Contact name

Type of Investor (please tick one of the following otherwise application shall not be processed by Transfer Agency)

- ☐ Financial Institution ☐ Listed Company ☐ Unlisted Company
- ☐ Pension Scheme ☐ Foundation/Charitable Institution ☐ Trust/Trustee
- ☐ Nominee ☐ Investment Fund
- ☐ Other (please specify): _____

Declaration of US Citizenship or US residence for Tax Purposes (FATCA)

Please tick and complete as appropriate.

I confirm that (EITHER):

- ☐ I am not a U.S. citizen and/or resident in the U.S. for tax purposes.
- ☐ I am a U.S. citizen and/or resident in the U.S.** for tax purposes and my U.S. federal taxpayer identification number (U.S. TIN) is as follows:

U.S. TIN : _____

Please provide us with the applicable W-9 Form (available on the IRS website)

** If you check the box above, you will also be deemed to have represented to the fund that you are and "accredited investor" within the meaning of Regulation D under the United States Securities Act of 1933, as amended and a "qualified purchaser" as defined in the United States Investment Company Act, as amended.

Declaration of Tax Residence (CRS)

Please indicate your place of tax residence. If resident in more than one country please detail all countries of tax residence and associated Tax ID numbers.

Country of Tax residence	Tax ID Number (TIN) or equivalent	TIN or equivalent not available	Reason if TIN or equivalent not available:
		☐	
		☐	
		☐	
		☐	
		☐	

		☐	
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Declaration and Signature

I declare by signing this certification form that the above information is true, complete and accurate. I undertake to advise the recipient (Fund, Company and Registrar) promptly and provide an updated Self- Certification form within 30 days of any change in circumstance occurring, which causes any of the information contained in this form to be inaccurate or incomplete.

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with RBC I&TS setting out how RBC I&TS may use and share the information supplied by me.

Further, I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is / are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements regarding the exchange of financial account information, or otherwise where required by law.

In jurisdictions where the disclosure of the above-mentioned information is not required by law, I may refuse to consent. However, I recognize that the Bank may require this information in the future to comply with applicable law and will contact me to obtain such information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

Signature

Date of signature

Print name

Capacity (applicable if Power of Attorney given.
Please attach a copy of POA)

Type of Investment

We confirm that the investment into the Fund:

- ☐ Is made on our own behalf and is not in favour of a third party
- ☐ Is made on behalf of third party and that the account will reflect:

☐ a Pooled Account (reflecting a pool of underlying clients typically with a generic designation such as "Clients account" or with a designation that makes reference to a region, product or multiple specific customers)

☐ a Segregated Account (a specific account for a single underlying third party)

In such case, please select either one option below:

☐ the designation of the account will refer to the underlying client name

☐ the designation of the account is coded (the designation contains an internal reference, numbers or combination of letters which do not allow an external party to identify the underlying client)

Where investing in own name but on behalf of third party:

The Account Holder declares that the origin of the funds used for subscription is coming from: (please tick the right choice)

☐ Retail Customers Please tick if High Net Worth Customers ☐ Details:

☐ Institutional Customers

☐ Other (please describe)

Main country of origin of Client base: _____

Signature of the account holder

Ultimate economic beneficiary

An ultimate economic beneficiary is a final beneficiary of the investment in the shares being subscribed for pursuant to this application owning – directly or indirectly – more than 25% of the value of such shares. This final beneficiary must be either a private person(s) or a publicly quoted company(ies) for which more than 75% of their capital is listed on a recognized stock exchange.

Important: In case of indirect ownership, please describe on a dated and signed chart all the intermediate levels of ownership with names and percentages.

Please provide the information if any of the account holder or the ultimate economic beneficiary(ies) is/are either:

☐ a person holding a legislative, administrative or judicial office, whether appointed or elected:	If applicable, please describe:
☐ a person exercising a public function, including for a public agency or public enterprise:	
☐ an official or agent of a public international organisation	
☐ a person or company manifestly close to or connected with the above persons	

Ultimate Economic Beneficiary declaration

☐ I/we declare I/we am/are the ultimate economic beneficiary(ies) of the shares being subscribed.

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☐ I/we am/are not the ultimate economic beneficiary of the shares being subscribed.

Ultimate Economic Beneficiary information 1¹

☐ Mr ☐ Mrs ☐ Ms (please tick the right choice)			
Surname(s)		First Name	
Date of birth (DD/MM/YYYY) / /	Place & Country of birth	Occupation and area of activity:	Nationality
Passport/ ID Number: (circle the right choice)	Issuing Country:	Expiration Date (DD/MM/YYYY) / /	Double Nationality: ☐ Never ☐ Renounced ☐ Yes, which:
Registered Address* Street Number:	Registered Address Town/Village:	Registered Address Post Code:	Registered Address Country:

¹ If further Ultimate Economic Beneficial Owners Information forms are required to be registered, please use the Ultimate Economic Beneficiary information available at the end of this application form, as many times as required.

Mailing Address if different from above Street Number	Mailing Address Town/Village:	Mailing Address Post Code:	Mailing Address Country:
Telephone:	Fax:	E-Mail: @	Mobile-Phone:

* P.O. Box and "in care of" addresses are not valid registered address. These are acceptable for mailing purposes.

Signature of the ultimate economic beneficiary

Date and place of signature

EXPECTED VOLUMES & FREQUENCY

If the investor is a regulated Financial Institution (including relating wholly owned Nominee Companies used to hold the assets of the Parent Company's clients' assets) acting on behalf of third party, or a regulated Investment Fund, or a regulated Insurance Company investing in relation to Life Insurance/Unit Linked Products, please proceed directly to section 11.

Please complete the below section with your expectations in terms of investment in the fund. The expected volumes and frequency provided will not form any kind of commitment from the account holder, the beneficial owners or the intermediary.

Expected frequency of trading

Please tick the anticipated frequency.

☐ Single transaction	☐ Daily	☐ Weekly	☐ Monthly	☐ Quarterly	☐ Semi-annual	☐ Annual	☐ Ad-hoc
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Expected investment amount

☐ Individuals	By transaction:	☐ Up to EUR 10.000 (or equivalent)	☐ between EUR 10.000 and 50.000 (or equivalent)	☐ over EUR 50.000 (or equivalent)
	Expected Total Investment			
☐ Financial Institutions, Insurance Companies, Pension Funds, Investment Funds, Listed Companies	By transaction:	☐ Less than EUR 10 millions (or equivalent)	☐ between EUR 10 millions and 50 millions (or equivalent)	☐ over EUR 50 millions (or equivalent)
	Expected Total Investment:			
☐ Other types of investors	By transaction:	☐ Less than EUR 1 million (or equivalent)	☐ between EUR 1 million and 10 millions (or equivalent)	☐ over EUR 10 millions (or equivalent)
	Expected Total Investment:			

2. Professional Adviser/ Intermediary Details (section to be completed if 3rd party involved)

Professional Adviser's Details Name Agent Internal ID (if exists) Contact Name Street City Zip Code Country Regulated Number of Intermediary Name of Regulatory Body		Professional Adviser's Stamp (Mandatory) I/We hereby confirm that we have verified the identity of the investor(s) ultimate beneficiaries if investing on behalf of a third party and all other related parties and related parties (e.g. power of attorney holder) by viewing original identification documents. Copies of these documents will be kept by us for a minimum of five years after the termination of the relationship. Certified true copies of said identification documents will be provided to the Fund and/or the Administrator upon reasonable request.
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Telephone Number	Fax Number	Signature of Professional Adviser

Dealing instructions from a Professional Adviser may be acted upon in the case where an original Power Of Attorney (POA) is in place. Please forward a duly signed original POA or discretionary agreement which evidences in writing that POA has been granted to the professional adviser. Please provide identification documents of POA holder(s) as per the Anti Money Laundering (AML) requirements outlined in section 7 of this document.

3 Bank Account Details

The bank account details below shall apply to all electronic wires to and from my/our account
(If additional details to be applied for any reason please attach an original instruction duly signed in wet ink. Please note moneys will only be accepted from, and paid to an account in the name of the shareholder, no third party payments will be processed.)

Currency: ☐ EUR ☐ USD ☐ CHF ☐ GBP ☐ SEK

Name of Bank	
Address of Bank	
Swift/BIC (mandatory if BAN is used)	
Account Name (payments will only be made to the bank account of the registered shareholder)	
International Bank Account Number (IBAN) - mandatory for EUR payments if within the EU zone)	
Account Number	
Correspondent Bank (if applicable) Bank Name Swift/Sort Code	

Please ensure that the amount invested is credited to the fund account as per details below:

Total subscription amount payable to be remitted indicating shareholder's name, account number and deal reference (if known) to the following bank details.

Beneficiary Account name	Currency	Account number	Beneficiary bank BIC	RBC IS Correspondent BIC
GAMCOSC Collection acc	EUR	LU07 3417 9200 6173 5000	FETALULL	BOFAGB22
GAMCO SCV Collection acc	USD	LU28 3418 9200 6173 6900	FETALULL	BOFAUS3N
GAMCO SCV Collection acc	GBP	LU50 3414 9200 6173 3600	FETALULL	BOFAGB22
GAMCO SCV Collection acc	CHF	LU36 3415 9200 6173 7100	FETALULL	BOFACH2X SIC 087260
GAMCO SCV Collection acc	SEK	LU79 3412 9200 6173 5700	FETALULL	ESSESESS

4 Reporting requirements

Channel of communication

☐ Fax : _____

☐ by e-mail : _____ (please complete E-mail instruction letter)

☐ by post

5 Dividend Policy

Please select one option when investing in a distributing Share Class (if no selection made or not in accordance with prospectus the default policy as outlined in the prospectus will apply)

☐ Reinvest

☐ Cash

****If no bank details are provided, the reinvestment option will apply.***

6 Investment Details

Sub-Fund Name	Share Class ISIN	ISIN Code	CCY	Amount	Units
GAMCO All Cap Value	Class I (USD)	LU1216601648	USD	\$	
GAMCO All Cap Value	Class I (EUR)	LU1216601564	EUR	€	
GAMCO All Cap Value	Class I (CHF)	LU1216601721	CHF	F	
GAMCO All Cap Value	Class I (GBP)	LU1453359736	GBP	£	
GAMCO Merger Arbitrage	Class I (USD)	LU0687944552	USD	\$	
GAMCO Merger Arbitrage	Class I (EUR)	LU0687944396	EUR	€	
GAMCO Merger Arbitrage	Class I (CHF)	LU0687944719	CHF	F	
GAMCO Merger Arbitrage	Class I (GBP)	LU1453360585	GBP	£	
GAMCO Merger Arbitrage	Class I (GBP) (unhedged)	LU1453360668	GBP	£	
GAMCO Merger Arbitrage	Class I (SEK)	LU1218429717	SEK	kr	
GAMCO Merger Arbitrage	Class S (EUR)	LU2191334726	EUR	€	
GAMCO Merger Arbitrage	Class S (USD)	LU2198665262	USD	\$	
GAMCO Convertible Securities	Class A (CHF) Acc	LU2264532537	CHF	F	
GAMCO Convertible Securities	Class A (EUR) Acc	LU2264532610	EUR	€	
GAMCO Convertible Securities	Class A (USD) Acc	LU2264532701	USD	\$	
GAMCO Convertible Securities	Class I (CHF) Acc	LU2264532883	CHF	F	
GAMCO Convertible Securities	Class I (EUR) Acc	LU2264532966	EUR	€	
GAMCO Convertible Securities	Class I (USD) Acc	LU2264533006	USD	\$	
GAMCO Convertible Securities	Class I (USD) Dis	LU2264533188	USD	\$	
GAMCO Convertible Securities	Class R (EUR) Acc	LU2264533261	EUR	€	
GAMCO Convertible Securities	Class R (USD) Acc	LU2264533345	USD	\$	
GAMCO Convertible Securities	Class F (EUR) Acc	LU2264533428	EUR	€	
GAMCO Convertible Securities	Class F (USD) Acc	LU2264533691	USD	\$	
GAMCO Convertible Securities	Class X (USD) Acc	LU2264533774	USD	\$	
Other :					

Please note that:

- All non-USD share classes are hedged vs the USD, unless otherwise noted
- Comma stands for thousands

- Spot stands for decimals

7 Prevention of Money Laundering

AML documentation required

In the case of a corporation or other legal entity, as a minimum:

- A certified true copy of the Certificate of Incorporation or recent extract of public company register and Articles of Association.
- A current and dated authorised signatory list on company letterhead. An original copy or a certified true copy signed on each page in ink.

In the case of Power of Attorney (POA):

- Certified true copies* of identification documentation and an authorized signature list of the POA holder(s) must be received and validated by the Transfer Agency as per KYC checklist before POA becomes effective.

*Certification may be performed by a public authority such as a governmental agency, notary, the police in country of residence or the embassy/consulate and the date of certification must be within the previous 12 months.

The Company and the Administrator reserve the right to ask for additional identification documents depending on the nature of the legal entity and jurisdiction. If the applicant is investing on behalf of a third party, the third party has to be duly identified unless the applicant is a professional of the financial sector regulated in a country subject to AML regulations equivalent to those in Luxembourg.

8 Declaration and Signature(s)

As a shareholder, I/we by signing hereunder expressly declare, acknowledge and confirm the following:

Subscription orders will only be accepted if all supporting registration documentation have been received and validated by the Transfer Agency before the relevant cut-off time as detailed in the latest copy of the prospectus.

- I/We have read a copy of the latest prospectus of the fund and am/are fully aware of and understand the financial risk associated with a subscription to the fund and I/we accept that any market fluctuations may lead to a loss of all or part of my/our investment.

- I/We agree to transfer the correct subscription amount in the correct currency within the prescribed time limit to the correct bank account. I/We understand that in the case of late payment or non-payment of the correct subscription amount the subscription may be cancelled and the fund may take action, non-judicial or otherwise against me/us/our representative in order to recover any losses or costs incurred as a result of late or non-payment.

- I am/We are not a US Person (see prospectus for definition), am/are not applying for shares (directly or indirectly) on behalf of any US Person and will not sell or offer to sell or transfer shares in the US or to or for the benefit of (directly or indirectly) a US Person or I am/We are an "accredited investor" as such term is defined in Regulation D under the Securities Act of 1933, as amended and a "qualified purchaser" as such term is defined in the Investment Company Act of 1940, as amended.

- I/we declare that the information provided in this form is to the best of my/our knowledge and belief, accurate and complete.

- I/we agree to notify the Fund immediately in the event the information in sections 1 or 2 of this Account Registration Form changes.

- When making and signing an application as joint-holders, all registered holders must jointly authorize any dealing or their instructions in writing unless a separate signing authority has been confirmed under section 1 of this document. For additional joint-holders a separate notice must be completed and returned to the Transfer Agency.

Confidentiality, data processing and professional secrecy

1. The Fund, the Company, the Registrar or any other agent used by them agree to keep all information concerning the Applicant(s) confidential unless required to disclose such information to third parties by applicable Law or by formal instruction of the Applicant(s) or as further described in this section.
2. The Applicant(s) agree(s) that the Fund, the Company, the Registrar or any other agent used by them may be requested to disclose personal details for the processing of cash payment instructions in accordance with the mandatory obligation provided in Article 16 of the Act of November 12, 2004 (as amended) regarding the fight against money laundering and terrorism financing.
3. The Applicant(s) agree(s) that any information relating to it(them), including personal data such as identification data, account information, contractual and other documentation, transactional information, details of shareholding either given in this Application and Account Opening Form or otherwise held by the Fund, the Company or the Registrar, acting as data controller, on application or at any other time (the "Investor Information"), will be stored in digital form or otherwise and processed in accordance with the Luxembourg Law on the Data protection dated 2 August 2002 as amended (the "Data Law"). Investor Information may also include personal data, for example, regarding your employees, directors, officers, legal representatives, beneficial owners, trustees, settlors, signatories, shareholders or otherwise. As per the before said, each Applicant
 - 3.1 agrees that the Fund, as well as, where relevant the Company and the Registrar and those companies to which the Fund, the Company or the Registrar delegate distribution or investor servicing duties, the distributors or any other service providers such as representatives or third-party agents will collect, retain, maintain and disclose Investor Information in accordance with applicable laws, including potentially to their group's world wide offices or affiliates (the "Data Processors")
 - 3.2 understands that the Investor Information supplied will enable the Fund as well as, where relevant, the Company, the Registrar and any of the Data Processors, to administer its account and provide appropriate services.
 - 3.3 acknowledges that the Fund, the Registrar, as well as, where relevant, the Data Processors may be required by applicable laws and regulation to provide the Investor Information to tax, supervisory or other authorities in various jurisdictions, in particular those where (i) the Fund is or is seeking to be registered for public or limited offering of its shares, (ii) investors are resident, domiciled or citizens, (iii) the Fund, as well as, where relevant the Company, the Registrar and those companies to which the Fund, the Company or the Registrar delegate distribution or investor servicing duties, the distributors or any other service providers such as representatives or third-party agents is or is seeking to be registered, licensed or otherwise authorised to invest. The Company, the Fund or the Registrar shall not be liable for any consequences resulting from such disclosure.
 - 3.4 agrees that Investor Information may be transferred to or stored in a country that does not have equivalent data protection laws to those of the European Union.
 - 3.5 waives in favour of the Fund, as well as, where relevant the Company, the Registrar and those companies to which the Fund, the Company or the Registrar delegate distribution or investor servicing duties, the distributors or any other service providers such as representatives or third-party agents the Luxembourg professional secrecy requirements relating to the financial sector.

The Applicant(s) accept(s) that Investor Information may be disclosed by the Fund, the Company, the Registrar or any other agent used by them to external parties such as the Fund's sponsor, the Fund's Authorized Distributors or as deemed necessary by the Fund, the Company, the Registrar or any other agent used by them for the provision of enhanced shareholders' related services and, particularly in the case of Registrar, for the delegation of data processing activities as part of its Transfer and Registrar Agent duties. The Applicant further agrees to Investor Information (subject to the application of local laws and/or regulations) being used outside Luxembourg, and therefore being potentially subject to the scrutiny of regulatory and tax authorities outside Luxembourg. When Investor Information is transferred to countries which are not deemed as equivalent in terms of Data Protection regulation, it is legally required that the Fund, the Company, the Registrar or any other agent has recourse to appropriate safeguards. The Applicant is informed that the Registrar will in the scope of the delegation of data processing activities as part of its Transfer and Registrar Agent duties transfer personal data to its affiliate in Malaysia. In such case appropriate safeguards will consist in the entry into standard contractual clauses approved by the European Commission, of which the Applicant may obtain a copy by contacting RBC INVESTOR SERVICES BANK S.A at the following e-mail address : customerservices@rbc.com.

4. Investor Information, once disclosed by the Registrar as per the previous sub-section 7.4 shall be processed by the recipient under the control of the Registrar and shall not be subject to the Luxembourg professional secrecy and confidentiality standards applicable to the Registrar but to professional secrecy and confidentiality standards applicable to such recipient.
5. The consent given in this section shall remain valid during as long as the Applicant(s) is(are) an shareholder(s)/unitholder(s) of the Fund.
6. The Applicant(s) is/are aware that, notwithstanding the foregoing, it will be able, at any time, to (i) refuse the collecting, processing and sharing, (ii) have access, (iii) require correction or (iv) deletion of such information, by contacting the Fund, the Company or the Registrar using the contact details mentioned on this Application Form. As such action according to (i) to (iv) may affect the existence or continuation of the provision of services by the Fund, the Company, the Registrar or any other agent used by them, the Applicant(s) acknowledge(s) that neither the Fund, the Company, the Registrar nor any other agent used by them will be liable for any loss or damage incurred by the Applicant(s) in the context of such action according to (i) to (iv) by the Company. The Fund, the Company, the Registrar or any other agent used by them will, however reserve the right to redeem the participation of the Applicant(s) to ensure full compliance with the applicable laws and regulations and remain liable for the proper handling and fulfillment of its data protection duties.
7. In connection with personal data, the Applicant shall inform and obtain consent from any relevant individual that data relating to them may be shared as described in this General Terms and Conditions.

Document and information retention

The Applicant(s) acknowledge(s) and agree(s) that Investor Information will be held by the Fund, the Company, the Registrar or any other agent used by them and may be subject to data processing.

Fax & Email indemnity

•I/We confirm that the Company and the Administrator may receive and act upon my/our faxed instructions, which the Administrator believes has been given in good faith. I/We agree to hold the Administrator harmless and to indemnify the Administrator for any loss or damage, which the Administrator may suffer as a result of acting on such faxed instructions.

I/We agree that the Transfer Agency will not accept any transaction instructions or requests to change of any account static data by email.

•The fund or its Agent shall communicate to me/us at my/our own risk. I/we agree to accept communications conveyed by electronic means such as facsimile or email and acknowledge that these are not secure forms of communication, which may accordingly give rise to higher risks of manipulation or attempted fraud, for which the Manager of any of its delegates shall have no liability.

•I/We consent to details relating to my/our application and holdings being accessed by or disclosed to, Gabelli Funds, LLC and to those companies to which it delegates marketing and investor servicing duties.

Should you wish to send your instruction by original letter / form only then please tick as appropriate

☐ I/We confirm that the Company and the Administrator are NOT to act upon my/our faxed instructions.

KIID Delivery Verification

Please tick the following as appropriate (Please note if the box below is not ticked, your application will be rejected)

☐ I/We confirm that I/We have received and read the information contained in this form and confirm that a copy of the Key Investor Information Document has been provided to me/us. I/We confirm that I/We have read and understood the Key Investor Information Document and that any future investments to any other Sub-Fund or Share Class of the UCITS as referenced within this form can also be transacted based on this confirmation. I/We request and authorise the UCITS Company to act in accordance with my/our instructions.

If you have not ticked this box, we are unable to process your application until you have received the latest KIID.

Date : _____

Place : _____

Signature 1: _____

Full Name (in capitals): _____

Signature 2: _____

Full Name (in capitals): _____

E-mail instruction letter

From :

To : RBC Investor Services Bank S.A.
Attention : Register Department
14, Porte de France
L-4360 Esch-sur-Alzette

Date : _____

CORPORATE / INSTITUTIONAL INVESTOR

☐ We, _____

a _____

incorporated under the laws of _____

having our registered office at _____

☐ and registered with the _____

under number _____

and holder of the account(s) _____

or as listed in Appendix 1 to this letter (if more than one account) with RBC Investor Services Bank S.A. ("RBC")

are writing to RBC in its capacity as transfer agent / registrar for GAMCO International SICAV (the "FUND").

INDIVIDUAL INVESTOR

☐ We/I, _____

residing at _____

and with ☐ passport / ☐ ID card number _____

and holder of the account(s) _____

or as listed in Appendix 1 to this letter (if more than one account) with RBC Investor Services Bank S.A. ("RBC")

are writing to RBC in its capacity as transfer agent / registrar for GAMCO International SICAV (the "FUND").

We hereby request and authorize RBC to send the following documents to us by e-mail:

☐ Statements

☐ Contract Notes

☐ Dividend Confirmation

(together, the "Documents")

We hereby confirm that the Documents shall be sent by RBC to the following e-mail address:

Should this e-mail address become invalid or unused, we hereby undertake to inform RBC by registered letter with two weeks' prior written notice and to promptly provide RBC with a new e-mail address to be used for the purpose of sending the Documents. In addition, we hereby expressly authorize and instruct RBC to discontinue the sending of the Documents by fax.

We :

- a) acknowledge that e-mail is not a secure, confidential or prompt means of communication and recognize and accept the associated risks pertaining to the sending of the Documents despite their confidential nature by e-mail including, without limitation, the risks of non-receipt or delay, the interruption of e-mail communication, the interference with the integrity of the e-mail communication, the risk of interception of e-mails and the loss of confidentiality;
- b) agree that RBC shall not be responsible or liable for any errors and omissions or losses, liabilities or damages which may be suffered or incurred by us solely as a result of RBC sending us the Documents by e-mail (except in the event of RBC's gross negligence, fraud or willful misconduct), including, but not limited to, losses or damages arising from viruses or worms, or from the interception, tampering or breach of confidentiality of data or information transmitted; for the avoidance of doubt, RBC shall not be liable for indirect, incidental, special, or consequential damages and damages for loss of profits, revenue or savings (actual or anticipated), economic loss, loss of data or loss of goodwill or other similar measure (whether or not either party knew of the possibility of such damage or such damage was otherwise foreseeable); and
- c) agree and undertake that we shall not make any claims or demands or take any action or start any legal proceedings against RBC for any losses or damages whatsoever that we may suffer by reason of us receiving, or not receiving, accepting and/or acting on such Documents received by e-mail or otherwise suffered or incurred by us solely as a result of or in connection with the sending of the Documents by e-mail.

Furthermore, we acknowledge that RBC has no obligation to verify that any e-mails sent to us are sent to a person or entity duly authorized to receive the Documents.

This letter will enter into force as of the date and year first written above.

This letter is governed by and shall be construed in accordance with Luxembourg law. The courts of the City of Luxembourg shall have exclusive jurisdiction in respect of any dispute arising out of or in connection with this letter.

SIGNATURE(S)

☐ Corporate / Institutional Investor

By:

Name:

Title:

By:

Name:

Title:

☐ **Individual Investor**

By:

Name:

Main Holder

By:

Name:

Main Holder

Appendix 1 : list of Accounts

Account Holder:

Accounts:

Any changes to this Appendix shall be notified in writing to RBC and signed by the investor.

Ultimate Economic Beneficiary information

This Ultimate Economic Beneficiary information is provided in addition to the ACCOUNT OPENING AND APPLICATION FORM.

The Applicant confirms by its signature below that he has taken knowledge of and agrees to the ACCOUNT OPENING AND APPLICATION FORM, the contained declarations as well as the included General Terms and Conditions.

☐ Mr ☐ Mrs ☐ Ms (please tick the right choice)			
Surname(s)		First Name	
Date of birth (DD/MM/YYYY) / /	Place & Country of birth	Occupation and area of activity:	Nationality
Passport / ID Number: (circle the right choice)	Issuing Country:	Expiration Date (DD/MM/YYYY) / /	Double Nationality: ☐ Never ☐ Renounced ☐ Yes, which:
Registered Address* Street Number:	Registered Address Town/Village:	Registered Address Post Code:	Registered Address Country:
Mailing Address if different from above Street Number	Mailing Address Town/Village:	Mailing Address Post Code:	Mailing Address Country:
Telephone:	Fax:	E-Mail: @	Mobile-Phone:

* P.O. Box and "in care of" addresses are not valid registered address. These are acceptable for mailing purposes.

Signature of the ultimate economic beneficiary

Date and place of signature